GEORGE MASON UNIVERSITY GRADUATE SCHOOL OF EDUCATION Education PhD

International Education / Education Leadership (IEEL) Specialization

EDLE 801.X01, IEEL Contemporary Organization Theory 3 credits, Summer 2018

Tuesdays & Fridays, 12:30-4:20 p.m., 6/26/18-7/28/18, Thompson Hall 1013

Instructor: Robert G. Smith By appointment

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Course Description

EDLE 801. Contemporary Organization Theory (3:3:0)

University Catalog Course Description

Engages students in the study of major organization theories that inform educational leadership research. Students use theory to help inform their own research interests. Students begin work on analytical literature review.

Co-requisite: Application to the Education Leadership Program.

Course Overview

EDLE 801 is one of the first courses in the education leadership specialization sequence designed to provide a firm foundation for students' research in education leadership. The general emphasis in the sequence is on students learning how to explore their research interests in the context of the larger sweep of education leadership as a field, with a focus on how leaders at all levels impact the effectiveness and improvement of schools and school systems.

These courses are constructed as surveys. The goals include introducing students to a wide variety of theory and applied research on organization theory, leadership, and decision making, particularly in educational contexts. The courses also seek to provide you with the opportunity to develop your *personae* as researchers, and to develop the necessary skills to be successful as a

doctoral candidate in education leadership. The courses are designed around the theme of connecting *theory*, *research*, *and practice*. Thus, we will explore:

- 1. Theory: What are the features and assumptions of the perspective? What content themes are stressed? Does the perspective adequately describe, explain, and predict something of interest in the world of educational leaders?
- 2. Research: What kinds of empirical questions tend to be addressed using this perspective? Are there any particular methodological considerations associated with the perspective (i.e., unit of analysis, typical research methods used)?
- 3. Practice: What does each perspective help us understand about school leadership, organizations, and decision making? What are the limitations of the perspective?

Student Outcomes

Students who successfully complete this course will be able to:

- 1. Demonstrate a solid understanding of formal leadership and organization theory through discussion, presentation and written paper assignments;
- 2. Read research literature and present persuasive written and oral critiques;
- 3. Engage in conversation to explore topics in their field of interest that represent opportunities for future investigation;
- 4. Use theory to frame researchable questions and use extant literature to inform problems relating to research and professional practice; and
- 5. Further develop their ability to write doctoral-level papers.

National Standards

The following Education Leadership Constituent Council (ELLC) standards are addressed in this course:

- 1.1 Develop, articulate, implement and steward a shared vision
- 1.3 Understand and promote continual school improvement
- 2.2 Provide effective curricular and instructional programs
- 3.1 Monitor and evaluate school management and operations
- 3.4 Understand and develop capacity for distributed leadership
- 6.1 Understand the larger context
- 6.2 Respond to the larger context

Nature of Course Delivery

Each class will include a variety of activities and exercises. Broadly speaking, your primary responsibilities are 1) to read the literature; 2) to share your questions, reflect on your experiences, and engage in productive discussion to make the literature relevant to the world of practice that we experience and understand; and 3) to write, share your written work, and provide feedback to others in a respectful fashion.

- 1. Classes will reflect a balance of activities that enable students to participate actively in the development of their *personae* as scholars. To promote an atmosphere that allows us to accomplish this, we will:
 - a. start and end on time:

- b. maintain (flexibly) a written agenda reflecting objectives for each class;
- c. support our points of view with evidence;
- d. strive to be open to new ideas and perspectives; and
- e. listen actively to one another.
- 2. Student work will reflect what is expected from scholars. As such, students are expected to:
 - a. write papers that are well researched, proofread, submitted in a timely fashion, and consistent with APA guidelines;
 - b. participate actively in class discussions in a manner that challenges the best thinking of the class; and
 - c. provide constructive feedback to others both on their ideas and on their written work, striving to learn from each other and to test each other's ideas.
- 3. We will endeavor to create a classroom climate that approximates what we know about learning organizations. As such, it is important that we create a space that allows participants to try out new ideas and voice opinions without fear of ridicule or embarrassment. The hallmark of a learning organization is a balance between openness and constructive feedback; hence, everyone is expected to:
 - a. come fully prepared to each class;
 - b. demonstrate appropriate respect for one another;
 - c. voice concerns and opinions about class process openly;
 - d. recognize and celebrate each other's ideas and accomplishments; and
 - e. show an awareness of each other's needs.

Course Materials

Required texts.

Bolman, L. & Deal, T. (2017). *Reframing organizations: Artistry, choice, and leadership* (6th ed.). San Francisco: Jossey-Bass.

Marion, R. & Gonzales, L. (2014). *Leadership in education: Organizational theory for the practitioner* (2nd ed.). Long Grove, IL: Waveland Press.

Recommended texts.

The American Psychological Association (2009). *Publication Manual of the American Psychological Association* (6th edition). Washington, D.C.: American Psychological Association.

Tosi, H. (Ed.). (2009). Theories of organization. Los Angeles: Sage.

Additional readings and materials. Selected articles and other materials will be available on our Blackboard class site. Required readings will be noted on the tentative schedule.

Outside-of-class Resources

All students are required to activate and monitor their GMU e-mail accounts. If you are uncertain about how to do this, please see me. I strongly recommend that you do not forward your Mason e-mail to a different account because attachments are often lost that way. It is best to check e-mail directly from your Mason account daily. It is my expectation that you will be fully competent to send and receive e-mail messages with attachments. If your computer at school or home has spam blocking that will prevent you from seeing messages with attachments, you are responsible for addressing this problem immediately.

Online access is vital for this class. All students are required to use Mason's Blackboard portal as part of this course. This is an Internet site at which I will post vital information for the course and through which we will communicate from time to time. Samples of student work will be archived on this site for purposes of course, program, and college assessment. It is my expectation that all students have access to standard word processing software that can be read by Microsoft Office (2003, 2007, 2010 or 2013).

Course Requirements, Performance-based Assessment, and Evaluation Criteria

Attendance. Students are expected to attend every class on time and to remain in class until it ends. If you are ill or have an emergency that prevents you from attending class, please call or e-mail me in advance. If you miss more than one class, you arrive late to multiple classes, and/or you leave class early multiple times, you will be subject to loss of participation points.

Grading. Consistent with expectations of any doctoral program, grading is based heavily on student performance on written assignments. The assignments constructed for this course reflect a mix of skills associated with synthesis and critique. Overall, written work will be assessed using the following broad criteria:

• Application of concepts reflected in class discussion and readings, and your ability to St

Specific performances and weights. The overall weights of the various performances are as follows:

Class leadership and participation - 20 points. Students are expected to participate actively in class discussions, in study group activities, and in serving as critical friends to other students. Students will be expected to teach others in the class at least once. Additionally, students will periodically have an opportunity to read and review each other's work in colleague-critical teams, as well.

As stated above, attendance is expected for all classes. If you must be absent, please let me know by e-mail or phone. More than one absence may result in a reduction in participation points. Likewise, arriving at class more than 30 minutes late or leaving more than 30 minutes before the end of class may result in loss of points.

Written assignments - 80 points. Two different types of papers will be expected of students in this class, one reflecting the skills associated with *critique* and the other *synthesis of research literature*. The critiques will take the form of analyses of published research papers.

Synthesis papers require the application of research to a problem you may be interested in studying. A description of each assignment and a rubric for grading each assignment are included at the end of this syllabus. The Research Problem and Rationale paper is the program-level Performance-Based Assessment for this course.

Late work. It is expected that student work will be submitted on time. Late assignments may receive a deduction in points; however, assignments will not be accepted later than one week after a due date.

Rewrites. Students who receive a grade lower than 3.6 may re-write their papers. All rewrites are due one week after the student receives the initial grade and comments.

Submitting papers. All papers must be submitted to Blackboard as Word file attachments. Papers are due as indicated on the tentative schedule that follows. *All assignments* must be submitted electronically, through Blackboard.

Grading scale.

A+	=	100 percent
A	=	95 - 99
A-	=	90 - 94
B+	=	86 - 89
В	=	83 - 85
B-	=	80 - 82
C	=	75 - 79
F	=	74 or below

GMU Policies and Resources for Students

Policies

- Students must adhere to the guidelines of the Mason Honor Code (see https://catalog.gmu.edu/policies/honor-code-system/).
- Students must follow the university policy for Responsible Use of Computing (see http://universitypolicy.gmu.edu/policies/responsible-use-of-computing/).
- Students are responsible for the content of university communications sent to their Mason email account and are required to activate their account and check it regularly. All communication from the university, college, school, and program will be sent to students solely through their Mason email account.
- Students with disabilities who seek accommodations in a course must be registered with George Mason University Disability Services. Approved accommodations will begin at the time the written letter from Disability Services is received by the instructor (see http://ods.gmu.edu/).

• Students must follow the university policy stating that all sound emitting devices shall be silenced during class unless otherwise authorized by the instructor.

Campus Resources

- Support for submission of assignments to Tk20 should be directed to tk20help@gmu.edu or https://cehd.gmu.edu/aero/tk20. Questions or concerns regarding use of Blackboard should be directed to http://coursessupport.gmu.edu/.
- For information on student support resources on campus, see https://ctfe.gmu.edu/teaching/student-support-resources-on-campus

Professional Dispositions

Students are expected to exhibit professional behaviors and dispositions at all times. See https://cehd.gmu.edu/students/polices-procedures/

Core Values Commitment

The College of Education & Human Development is committed to collaboration, ethical leadership, innovation, research-based practice, and social justice. Students are expected to adhere to these principles: http://cehd.gmu.edu/values/.

For additional information on the College of Education and Human Development, please visit our website https://cehd.gmu.edu/

EDLE 801.X01, Summer 2018, IEEL

Tentative Schedule

To accommodate the learning needs of the class, the topic and reading schedule will be amended during the summer. Revisions will be posted on our Blackboard course site as the tentative

weekly schedule is revised.

Session #	Date 2018	Topic(s)	Reading/Writing Assignment
1	6/26	Introductions	Perrow, Charles. (1973). The short and
_	0,20	Nominal Group Technique on	glorious history of organizational
		needs/apprehensions	theory. Organizational Dynamics, 73,
		Course Overview	2-15
		Jigsaw on Perrow	
		Conducting a Critique	
2	6/29	Theory: Research and Practice	Marion & Gonzales (M&G), Chapter 1
		The Machine Metaphor	Bolman & Deal (B&D), Part One
		The Structural Frame	
3	7/3	Human Relations Theory	M & G, Chapters 2&3
		Lesson 1: Research Article	
		Klar, H.W., Huggins, K.S., Hammonds,	
		H.L. & Buskey, F.C. (2016).	
		Fostering the capacity for distributed	
		leadership: A post-heroic approach to	
		leading school improvement.	
		International Journal of Leadership in	
		Education, 19,111-137. doi:	
		10.1080/13603124.2015.1005028	110 G G
4	7/6	Human Resources Frame	M&G, Chapter 4
		Open System Theory	B&D, Part Three
		Lesson 2: Research Article	
		Hitt, D.H. & Tucker, P.D. (2016).	
		Systematic review of key leader	
		practices found to influence student	
		achievement: A unified framework.	
		Review of Educational Research, 86,	
		531-569. doi:	
		10.3102/0034654315614911	
	7/8	Assignment One: Critiqu	e of Research Article
5	7/10	Contingency and Transformative Theory	M&G, Chapters 5&7
		Lesson 3: Research Article	
		Day, C., Gu, Q. & Sammons, P.	
		(2016). The impact of leadership on	
		student outcomes: How successful	
		school leaders use transformational	

Session #	Date 2018	Topic(s)	Reading/Writing Assignment
		and instructional strategies. Educational Administration Quarterly, 52, 221-258. doi: 10.1177/0013161X15616863	
6	7/13	Politics and Managing Conflict The Political Frame Lesson 4: Research Article Grissom, J.A., Loeb, S. & Master, B. (2013). Effective instructional time use for school leaders: Longitudinal evidence from observations of principals. Educational Researcher, 42, 433–444. doi: 10.3102/0013189X13510020 Peer Review of Annotated Bibliography	M&G, Chapter 8 Bolman & Deal, Part Four
	7/15	Assignment 3: Annotated Biolography	 tated Ribliography
7	7/17	Uncertainty and Ambiguity Lesson 5: Research Article May, H. & Supovitz, J.A. (2011). The scope of principal efforts to improve instruction. <i>Educational</i> Administration Quarterly, 47, 332- 352. doi: 10.1177/0013161X1038341	M&G, Chapter 9
L	7/20	Organization as Culture	M&G, Chapter 11
9	7/24	The Symbolic Frame Critical and Institutional Theory Lesson 6: Research Article Khalifa, M.A., Gooden, M.A. & Davis, J.E. (2016). Culturally responsive school leadership: A synthesis of the literature. Review of Educational Research, 86, 1272-1311. doi. 10.3102/0034654316630383	B&D, Part Five M&G, Chapters 12 & 13
10	7/27	Reframing Robert F. Kennedy Case Striving for Equity application of reframing Peer Review of Research Problem and Rationale	B&D, Chapters 15 & 20
	7/29	Assignment #4: Research	Problem and Rationale

Assignment 1: Critique of Research Article (20 points)

Overview

As scholars using published research to bolster your arguments, it is important that you become a discerning reader. The purpose of these two papers is to give you opportunities to analyze and criticize published work both in terms of the contribution the work makes to the knowledge base and methodology. We intend that the feedback we provide will help you to hone your criticism skills.

Tasks

- Read the article assigned for the critique. Each article is related to the theory we are studying and has been selected from recent, top-quality journals. Carefully read the article with an eye toward understanding the contribution the work makes to the knowledge base and the methodological soundness of the work.
- Write a critique of the article in terms of its usefulness to scholars. Include in your critique a discussion of the structure of the paper; the value of the research question(s) addressed; the appropriateness of the methodology used to address the question; and the reasonableness of the claims made regarding the conclusions. Be certain to begin your critique with an introduction that draws the reader into your paper and ends with a **clear thesis** for your paper. The thesis must establish your burden of proof for the paper.
- Conclude your paper with a re-statement of your thesis and a brief discussion of the implications of your critique in terms of policy and practice.
- Your critique should be approximately 7 double-spaces, typewritten pages.

Assessment Rubric for Critique of Research Article (20 points)

Dimension	Criteria Level			
	Exceeds Expectations-4	Meets Expectations-	Approaches Expectations-4	Falls Below Expectations1
Introduction (15%) Introduction orients the reader to the purpose of the paper and introduces the article you are reviewing.	Introduction describes the paper critiqued, the purpose of the critique itself, and foreshadows significant findings through the thesis.	Introduction provides an adequate description of the paper critiqued and purpose of the critique itself.	Introduction is vague and does not adequately orient the reader to the paper.	Introduction is either missing or insufficient; there is little consideration of reader's perspective.
Research topic & review of literature (20%) Review addresses the appropriateness of research questions posed and the adequacy of the review of literature provided in the paper.	Extensive discussion of research questions, importance of topic for theory and practice. Considerable discussion of the merits of the literature review and organization of the review.	Adequate treatment of research questions, importance of topic for theory and practice, and adequacy of the literature review.	Superficial treatment of topic, research questions, importance. Superficial discussion of the merits of the literature review.	One or more of the elements of this criterion are missing and/or confusing.
Research design (20%) Review summarizes and deals with the quality and technical appropriateness of the methodology used to conduct the study.	Extensive analysis of the methods used, including consideration of research design; subjects; procedures, instruments; & limitations Appropriateness of design for addressing research questions is discussed.	Adequate analysis of the methods used in the study (subjects, procedures, instruments, limitations, etc.) and their appropriateness for research questions.	Superficial or incomplete critique of the methods used in the study and their appropriateness for research questions.	Analysis of methods used is missing or incomplete.
Data & findings (20%) Critique discusses the quality of the presentation of findings.	Extensive critique of the research findings in terms of presentation and appropriateness; some discussion of alternative ways of presenting data and/or any gaps or inaccuracies in presentations of findings	Adequate discussion of the research findings in terms of presentation, appropriateness, and/or accuracy.	Superficial discussion of the research findings in terms of either presentation, appropriateness, and/or accuracy.	Discussion of findings is missing or incomplete.
Conclusions (15%) Paper closes with a restatement of the thesis, a brief summary of the critique, and implications of the critique.	Conclusion follows logically from the body of the paper and is persuasive. It summarizes main points made in the critique, including whether the conclusions are reasonable; whether the research questions were answered; and the implications of the study for policy and practice	Adequate conclusion, including brief summary and implications for policy and practice. Conclusion is not necessarily persuasive.	Conclusion merely summarizes paper content and does not provide implications.	Critique ends without a discernable conclusion.
Mechanics and APA (10%) Your written work should always represent you as accurate and precise.	Nearly error-free, which reflects clear understanding APA format and thorough proofreading.	Occasional grammatical errors, questionable word choice, and minor APA errors.	Errors in grammar, punctuation and APA.	Frequent errors in spelling, grammar, punctuation, and APA

Assignment 2: Lesson on Research Article (20 points)

Tasks

This assignment involves selecting a study to read, summarize and teach to the class. The lesson should be designed to involve class members and be completed in 50 minutes and should treat at least the information noted below. After completing the lesson submit

Template for the Research Article Lesson

Citation for the article or report (APA format):
Purpose(es), problem(s) and/or question(s) addressed:
Method:
Findings or Results:
Conclusions:
Limitations:
Implications for practice:
Assessment of credibility and/or validity:
Assessment of utility:
Reflection on the lesson:

Assessment Rubric for Assignment 2: Lesson on Research Article (20 points)

	Criteria Levels			
Dimension	Exceeds Expectations-	Meets Expectations-3	Approaches Expectations-3	Falls Below Expectations-1
Citation (10%) Complies with APA format	In complete accordance with APA format	Largely in accordance with APA format	Missing important information	Missing altogether
Purpose(s), problem(s) and/or question(s) addressed. (15%)	Clearly and succinctly states the purpose(es) problem(s), and/or question(s) addressed	A part of the statement is unclear <i>or</i> too long	Most of the statement is unclear <i>or</i> too long	The statement is unclear <i>and</i> too long <i>or</i> missing
Findings or Results (15%) Specifies what the study found	Clearly and succinctly states the findings or results	A part of the statement is unclear <i>or</i> too long	Most of the statement is unclear <i>or</i> too long	The statement is unclear <i>and</i> too long <i>or</i> missing
Conclusions (15%) Specifies the conclusions reached by the author(s)	Clearly and succinctly states the conclusions	A part of the statement is unclear <i>or</i> too long	Most of the statement is unclear <i>or</i> too long	The statement is unclear <i>and</i> too long <i>or</i> missing
Limitations (15%) Describes the limitations of the study in providing a valid set of findings	Clearly and succinctly states the limitations	A part of the statement is unclear <i>or</i> too long	Most of the statement is unclear <i>or</i> too long	The statement is unclear <i>and</i> too long <i>or</i> missing
Implications for practice (15%) Describes your view of the usefulness of the study for your purposes	Clearly and succinctly states the implications for practice	A part of the statement is unclear <i>or</i> too long	Most of the statement is unclear <i>or</i> too long	The statement is unclear <i>and</i> too long <i>or</i> missing
Assessment of credibility and/or validity (15%) Describes the degree to which the findings and conclusions are believable and valid	Clearly and succinctly assesses the credibility and/or validity of the study	A part of the statement is unclear <i>or</i> too long	Most of the statement is unclear or too long	The statement is unclear <i>and</i> too long <i>or</i> missing
Assessment of Involvement of Class (20%) Class members are drawn into the study and participate in understanding and critiquing it.	Class members are clearly and enthusiastically engaged in understanding and critiquing the study.	Class members are involved much of the time.	Class members are involved less than half of the time.	Class members are uninvolved.

Assignment 3: Annotated Bibliography (20 points)

Overview

An annotated bibliography is a tool that helps you sift through existing research on a question that interests you and organize the knowledge that you are gaining by reading this literature. Creswell (2009) refers to this as "abstracting studies." This writing assignment has the following goals:

- 1. Give practice reading and organizing research literature;
- 2. Provide an opportunity to determine how, or in what way(s), research studies student identify inform the research questions they are interested in pursuing; and
- 3. Allow students to begin to identify constructs they may need to include in the conceptual framework they propose to use in conducting their research.

Tasks

To complete this writing assignment, follow the steps below:

- 1. Using the specific research question(s) you identified as the focus of your work, identify research literature that you believe may inform your study. Note that the expectation here is that you focus on empirical research (broadly construed, i.e., not limited to any particular type of design), rather than opinion pieces or the like.
- 2. Select 12 pieces you believe to be highly relevant to your research. [Please try to prepare annotated entries for work that you believe has promise to inform your research; this means that you might scan many times the number of sources you eventually include. Part of the skill set you are building here is the capacity to identify useful work.]

3. For each piece, write a one-page entry that includes the following:	
☐ Bibliographic citation in APA format	
☐ A statement summarizing the problem being addressed	
☐ A statement summarizing the purpose of the paper	
☐ A brief statement of the methodology used (sample, population, subjects; des	ign
analytic approach)	
☐ A summary of key results	
$\hfill \square$ Your assessment of the strengths and/or weaknesses of the paper (in general,	
and/or for your purposes)	

In the end, your twelve (12) entries should provide you with a good deal of information about research that may form the foundation of your Research Problem and Rationale paper. The paper must be formatted in accordance with APA requirements. All non-original ideas and quotations must be properly cited and a full list of references must be included at the end of the

paper. (The title page and reference list are not part of the page count.) The reference list must include only sources that have been cited in the text.

Assessment Rubric for Assignment 3: Annotated Bibliography (20 points)

Dimension	Criteria Levels			
	Exceeds	Meets	Approaches	Falls Below
	Expectations-4	Expectations-3	Expectations-2	Expectations-1
Bibliographic entries	Annotated entries	Annotated entries	Annotated entries	Annotated entries
- content (40%)	provide a clear and	provide a summary	provide a general	are severely
The annotated	concise summary of	of each research	overview research	lacking in detail,
entries are well-	each research source.	source. Each entry	sources, but lack	rendering them of
written, balanced	Each entry includes	includes a brief	detail or are	little use
abstracts that are	an overview of the	overview of the	missing significant	
powerfully written to	research (including	research and an	elements needed to	
include relevant	method and	assessment of its	make the entries	
assessments of the	findings); and an	utility but may be	useful.	
merits of each piece.	assessment of its	lacking in		
Dibliographic ontains	utility. All entries clearly	specificity. Most entries relate	Most entries relate	The connection
Bibliographic entries - focus (10%)	and specifically	clearly to the	only generally to	between annotated
The sources	relate to the research	research question.	the research	entries and the
abstracted should	question.	research question.	question.	research question
clearly relate to the	question.		question.	is difficult to
research question(s)				discern.
posed.				arscern.
Bibliographic entries	Sources are well	Sources are	One or more	Entries are
quality (20%)	balanced, including	balanced but are not	entries are	dominated by
Sources selected are	predominantly	focused	included from	material from
from high-quality,	original research	predominantly on	questionable	questionable
credible sources (i.e.,	pieces from high-	original research	sources, reflecting	sources; a review
generally peer	quality, credible	from high-quality	largely opinion	of research is not
reviewed journals).	sources.	sources.	pieces rather than	evident.
			original research.	
Bibliographic entries	Twelve completed	Only 11 completed	Only 10 completed	Fewer than 10
quantity (10%)	annotated entries are	entries are	entries are	entries are
	presented.	presented.	presented.	presented.
References (10%)	References are	References include	References include	References include
Each entry includes	complete and	1- 3 errors (APA	4-6 errors (APA	more than 6 errors
a complete citation	presented in APA	format or	format or	in format or
in APA format.	format.	incomplete	incomplete	omission of
		information).	information).	required
Machanias (100/)	Nearly error-free	Occasional	Errora in anamman	information. Frequent errors in
Mechanics (10%)	which reflects clear	grammatical errors	Errors in grammar and punctuation,	spelling, grammar,
	understanding and	and questionable	but spelling has	and punctuation
	thorough	word choice	been proofread	and punctuation
	proofreading	word choice	occii prooficad	
	prooneaung			

Assignment 4: Research Problem and Rationale (30 points)

Overview

This paper requires students to establish a research focus. It serves as a precursor to a statement of a research problem that would be appropriate for a dissertation proposal or dissertation. The Research Problem and Rationale has a thesis and supporting arguments that are intended to persuade the reader and requires extensive literature support to demonstrate how you have situated your thinking in established theory and empirical research.

Many of the articles and books provided for this course may be useful to you in your development of this paper. It is also true that what has been provided will miss the mark for many topics that interest students. Students should expect to spend at least some time during the semester searching for sources relevant to their own research interests. A good strategy would be to explore the reference lists of articles and books assigned to check for sources that seem to come closest to your research focus.

Tasks

- 1. Write an introduction that orients the reader to the type of research you wish to conduct. The introduction must include a question (or set of questions) that guides your thinking about your topic. This could be a viable research question, but we are not yet holding you to that standard. The introduction must also include a thesis statement that explains why it is important to conduct a study within your topic.
- 2. The body of your paper begins with a statement of purpose, answering the question: What is it you wish to learn about your topic? The purpose may be supported with literature citations if others have pursued or recommended a similar purpose, but it may not be possible or appropriate to support the purpose with literature.
- 3. The majority of the body should focus on significance, the "so what?" question that all researchers must answer. It is usually helpful to think in terms of research (or academic) significance and practical significance. How would the study contribute to both scholarship and practice?
- 4. The final portion of the body should be a listing of potential research questions that flow logically from your statement of purpose and significance. Be inclusive and imaginative. This is a list you should want to carry forward and refine for portfolio 3 and beyond.
- 5. Conclude your paper with a restatement of your thesis and brief discussion of the implications of your potential study. Be sure to include discussion of gaps in the literature you have been able to locate and read up to this point. What should be the next steps in your work?
- 6. Your literature review should be no more than about 8 pages and must include citations and a reference list in APA format.

Assessment Rubric for Research Problem and Rationale (30 points)

Dimension	Criteria Levels			
	Exceeds Expectations-4	Meets Expectations-	Approaches Expectations-2	Falls Below Expectations-1
Introduction (10%) Introduction orients the reader to the purpose of the paper—a discussion of your intended research focus.	Introduction draws the reader into the paper effectively. The thesis is clear and analytical, dealing directly with significance, and requires demonstration through coherent arguments and support from published literature.	Introduction orients the reader to the paper. The thesis is apparent, though not entirely clear. It may be more descriptive than analytical. The thesis may not be clear about significance.	Introduction explains what is in the paper but lacks a clear and analytical thesis.	Introduction is very weak or absent.
Purpose (25%) It is important to explain to the reader what you wish to study.	Purpose is clear and compelling and well supported by published literature, if possible. Purpose is explained from multiple perspectives (e.g., practical and academic) in a logical and persuasive manner.	The purpose of the research is clear and engaging.	The purpose is apparent, but confusing.	Purpose is missing or unclear.
Significance (25%) It is important to explain to the reader why it is meaningful to pursue your chosen topic.	Significance is clear, compelling and well supported by published literature. Significance is explained from multiple perspectives (e.g., practical and academic) in a logical and persuasive manner, and significance is clearly linked to purpose.	The author weaves together persuasive arguments regarding the significance of the topic that follow logically from the stated purpose.	Significance is apparent, but not well supported by literature and/or seems unrelated to purpose.	Significance is unclear or missing.
Potential Research Questions (15%) Brainstorming research questions is an effective means for articulating research interests.	The list of potential research questions is inclusive and stimulating. The questions are clearly and persuasively linked to purpose and significance.	A reasonable set of questions is presented. The questions clearly follow from purpose and significance.	The list of questions is brief and not very imaginative. Links to purpose and significance may not be clear.	The list of questions is inadequate or absent.
Conclusion (15%) The paper should conclude in a manner that both summarizes the current work and anticipates future work.	The conclusion begins with a restatement of the paper's thesis in new language. After a very brief summary of the paper's main points, the conclusion broadens out to discuss the direction of the study and future literature needs to support purpose and/or significance	The conclusion summarizes the content of the paper well and restates the thesis in a manner that seems to flow logically from the body of the paper. The future direction is apparent.	The conclusion merely summarizes what has come before. The thesis may be stated in the same words as at the beginning or it may be missing from the conclusion.	The conclusion is missing.
Mechanics, and APA style (10%)	The paper is error free.	The paper contains few errors and is consistent with APA style.	The paper has several errors.	The paper has numerous errors.

Class Participation Assessment Rubric (10 points)

	Criteria Levels			
Dimension	exceeds expectations (4)	meets expectations (3)	approaches expectations (2)	falls below expectations (1)
Attendance (30%)	Exemplary attendance, no tardies	Near perfect attendance, few tardies	Occasional (1-3) absences or tardies	Frequent (>3) absences or tardies
Quality of Questions and Interaction (20%)	Most queries are specific and on point. Deeply involved in class dialogue. Challenges ideas, seeks meaning.	Often has specific queries, stays involved in class dialogue, though sometimes tentative or off-base.	Asks questions about deadlines, procedures, directions or for help with little specificity. Little discussion of ideas.	Rarely asks questions of any quality.
Effort (20%)	Volunteers as appropriate and often leads in group settings. Engages and brings out the best in others.	Willingly participates with instructor and classmates. Engages others.	Reluctantly participates when asked (rarely volunteers) Seeks easiest duties within groups.	Actively avoids involvement. Complains about others and uses excuses to explain deficiencies.
Demonstration of preparation for class (30%)	Demonstrates preparation regularly by referring to previous learning, text and other sources to contribute to class discussion and is prepared for each and every class.	Demonstrates preparation regularly by referring to previous learning, text and other sources to contribute to class discussion.	Demonstrates preparation and readiness periodically.	Is unable to demonstrate readiness for class