

# College of Education and Human Development Division of Special Education and disAbility Research

Spring 2019

EDSE 664 DL1: Ethical and Professional Conduct for Behavior Analysis CRN: 11693, 3 – Credits

<b>Instructor</b> : Dr. Theodore Hoch	<b>Meeting Dates</b> : 1/22/2019 – 5/15/2019
	(NOTE: Final Exams are due no later
	than 5/10/2019 – and no work will be
	accepted after 5/10/2019)
<b>Phone</b> : 703-987-8928 (can also text here, too)	Meeting Day(s): Wednesday
E-Mail: thoch@gmu.edu	<b>Meeting Time(s)</b> : 4:30 pm – 7:10 pm
<b>Office Hours</b> : Thursdays from 12:00 pm –	Meeting Location: Online
2:30 pm	
<b>Office Location</b> : Suite 100, Finley Building,	Skype: theodorehoch
GMU Fairfax Campus, 4400 University	
Drive, Fairfax, VA 22030	

\*\*Note: This syllabus may change according to class needs. Teacher Candidates/Students will be advised of any changes immediately through George Mason e-mail and/or through Blackboard.

Prerequisite(s): EDSE 619 B-

Co-requisite(s): None

# **Course Description**

Provides a basis in Virginia Behavior Analyst Licensure law, the Behavior Analyst Certification Board Guidelines for Responsible Conduct and Disciplinary Standards, and professional conduct consistent with the practice of applied behavior analysis. Offered by Graduate School of Education. May not be repeated for credit.

## **Advising Contact Information**

Please make sure that you are being advised on a regular basis as to your status and progress through your program. Mason M.Ed. and Certificate teacher candidates/students should contact the Special Education Advising Office at (703) 993-3670 for assistance. All other teacher candidates/students should refer to their faculty advisor.

## **Advising Tip**

Did you know you can order an official transcript through Patriotweb? Logon to Patriotweb. Select Student Services. Select Student Records. Select Order Official Transcript.

## **Course Delivery Method**

Learning activities include the following:

- 1. Class lecture and discussion
- 2. Application activities
- 3. Small group activities and assignments
- 4. Video and other media supports
- 5. Research and presentation activities
- 6. Electronic supplements and activities via Blackboard

#### **Learner Outcomes**

Upon completion of this course, teacher candidates/students will be able to:

- 1. Identify and describe content from each section of the Behavior Analyst Certification Board's (BACB's) Professional and Ethical Compliance Code for Behavior Analysts.
- 2. Identify and provide examples of conduct consistent and inconsistent with each of the ten sections of the BACB's Professional and Ethical Compliance Code for Behavior Analysts.
- 3. Identify and describe content from each section of the Commonwealth of Virginia Board of Medicine's (BOM's) Regulations Governing the Practice of Behavior Analysis.
- 4. Identify and provide examples of conduct consistent and inconsistent with the Commonwealth of Virginia BOM's Regulations Governing the Practice of Behavior Analysis.
- 5. Describe and exemplify steps to resolving ethical dilemmas that are consistent with the BACB's Professional and Ethical Compliance Code for Behavior Analysts and the Commonwealth of Virginia BOM's Regulations Governing the Practice of Behavior Analysis.
- 6. Prepare a Declaration of Professional Service that is consistent with current ethical and professional practice of applied behavior analysis.
- 7. Describe steps and conditions for proper and ethical case termination.
- 8. Describe the BACB's and Commonwealth of Virginia BOM's complaint process and the manner in which these boards handle complaints made to them.

#### Course Relationship to Program Goals and Professional Organizations

This course is part of the George Mason University, Graduate School of Education (GSE), Special Education Program for Applied Behavior Analysis Graduate Certificate. The content of the courses in this program is derived from the Task List published by the national Behavior Analyst Certification Board (BACB) as well as the Professional and Ethical Compliance Code for Behavior Analysts. The Professional and Ethical Compliance Code for Behavior Analysts is listed on the following website: http://bacb.com/wp-content/uploads/2016/03/160321-compliance-code-english.pdf. For more information on the Board and the examination, please visit the Board's website at <a href="www.bacb.com">www.bacb.com</a>. It addresses the following Behavior Analyst Certification Board Content Areas: Ethical and Professional Conduct, Identification of the

Problem and Assessment, Behavior Change Systems, Intervention and Behavior Change Considerations, and Implementation, Management, and Supervision.

## Required Textbooks

- Bailey, J.S. and Burch, M.R. (2010). 25 essential skills and strategies for the professional behavior analyst: Expert tips for maximizing consulting effectiveness. New York, NY: Routledge. ISBN 978-0-415-80068-6
- Bailey, J.S., and Burch, M.R. (2016). *Ethics for behavior analysts (3<sup>rd</sup> Edition)*. New York, NY: Routledge. ISBN 978-1-138-94920-1
- Daniels, A.C. (2016). Bringing out the best in people: How to apply the astonishing power of positive reinforcement (3<sup>rd</sup> Edition). New York, NY: McGraw-Hill.

#### **Recommended Textbooks**

American Psychological Association. (2010). *Publication manual of the American Psychological Association* (6th ed.). Washington, DC: Author. (Note: We will not use this text in this course, so it is alright if you don't buy it.)

## **Additional Readings**

- Association for Behavior Analysis, International. (2011). *ABAI Statement on Restraint and Seclusion*. Available at www.abainternational.org.
- Barrett, B.H., Beck, R., Binder, C., Cook, D.A., Engelmann, S., Greer, R.D., Kyrklund, S.J., Johnson, K.R., Maoney, M., McCorkle, N., Vargas, J.S., & Watkins, C.L. (1991). The right to effective education. *The Behavior Analyst*, *14* (1), 79-82.
- Behavior Analyst Certification Board's *Professional and Ethical Compliance Code for Behavior Analysts*, available at www.bacb.com in the Downloads area.
- Commonwealth of Virginia Board of Medicine's *Behavior Analyst Licensure Regulations*. <a href="http://www.dhp.virginia.gov/medicine/medicine\_laws\_regs.htm">http://www.dhp.virginia.gov/medicine/medicine\_laws\_regs.htm</a>
- Dorsey, M.F., Weinberg, M., Zane, T., & Guidi, M.M. (2009). The case for licensure of applied behavior analysts. *Behavior Analysis in Practice*, 2(1), 53-58.
- Hastings, R.P., & Noone, S.J. (2005). Self-injurious behavior and functional analysis: Ethics and evidence. *Education and Training in Developmental Disabilities*, 40 (4), 335-342.
- Johnston, J.M. (1991). What can behavior analysis learn from the aversives controversy? *The Behavior Analyst*, 14 (2), 187-196.

- Johnston, J.M. & Sherman, R.A. (1993). Applying the least restrictive alternative principle to treatment decisions: A legal and behavioral analysis. *The Behavior Analyst*, 16 (1), 103-115.
- Linscheid, T.R., Iwata, B.A., Ricketts, R.W., Wiliams, D.E., & Griffin, J.C. (1990). Clinical evaluation of the self-injurious behavior inhibiting system (SIBIS). *Journal of Applied Behavior Analysis*, 23 (1), 53-78.
- Van Houten, R., Axelrod, S., Bailey, J.S., Favell, J.E., Foxx, R.M., Iwata, B.A., & Lovaas, O.I. (1988). The right to effective behavioral treatment. *Journal of Applied Behavior Analysis*, 21 (4), 381-384.

## **Course Performance Evaluation**

Students are expected to submit all assignments on time in the manner outlined by the instructor (e.g., Blackboard, Tk20, hard copy).

## Tk20 Performance-Based Assessment Submission Requirement

It is critical for the special education program to collect data on how our students are meeting accreditation standards. Every teacher candidate/student registered for an EDSE course with a required Performance-based Assessment (PBA) is required to upload the PBA to Tk20 (regardless of whether a course is an elective, a one-time course or part of an undergraduate minor). A PBA is a specific assignment, presentation, or project that best demonstrates one or more CEC, InTASC or other standard connected to the course. A PBA is evaluated in two ways. The first is for a grade, based on the instructor's grading rubric. The second is for program accreditation purposes. Your instructor will provide directions as to how to upload the PBA to Tk20.

For EDSE 664, the required PBA is Ethics Final Exam. Please check to verify your ability to upload items to Tk20 before the PBA due date.

#### **Assignments and/or Examinations**

Performance-based Assessment (Tk20 submission required). *Final Examination*. You will complete and submit a five item, essay test. Each item will be an ethics scenario. You will identify all portions of the Professional and Ethical Compliance Code for Behavior Analysts and of the Commonwealth of Virginia Behavior Analyst Licensure Regulations that pertain to the scenario. Next, you will give at least three possible courses of action based on the Professional and Ethical Compliance Code, the Virginia Licensure Regulations, and the readings and discussions throughout the course. Finally, you will identify the course of action you would select, and would give the rationale for selecting that course of action over the other two. Up to 100 points may be earned on the final examination. This project must be submitted through TK20.

College Wide Common Assessment (TK20 submission required). None.

# Performance-based Common Assignments (No Tk20 submission required)

**Recorded Material Quizzes**. Weeks 2 – 14 will have recorded material that you will view through Blackboard. There will quiz questions after each recording, with a total of 151 quiz questions worth 1 point each distributed across the course. You will have access to quizzes for up to two weeks after the class session for which the reading was assigned.

*Interteaching Group Discussions*. For Weeks 2 - 14, you will be assigned to a Discussion Group. (You will be in a different group each week.) Your group may either meet during our class time (4:30-7:10 pm on Wednesday), or your group may opt to meet through Blackboard Collaborate, in person, or by other electronic means (e.g., FaceTime, Google Hangouts, Skype, etc.) at another time during the week – whichever you prefer. You'll be provided with a discussion guide each of those weeks. Before you begin your discussion, please be sure you have completed the assigned reading, and you've downloaded and read through the discussion guide. Then, meet with your group and have your discussion, taking your notes on the Discussion Guide. After your discussion, put all participating members' names on top of the Discussion Guide, and upload (each group member, independently) through Blackboard. 10 points possible per discussion. PLEASE NOTE: IF YOUR GROUP IS OPTING TO MEET AT A TIME OTHER THAN WEDNESDAY AT 4:30 PM, PLEASE EMAIL YOUR INSTRUCTOR AND LET HIM KNOW AHEAD OF TIME! To contact your classmates to arrange group discussions, please:

- 1. Click on Tools on the left panel of our course's Blackboard Site.
- 2. Click on Send Email, which you can find by scrolling down the page and looking on the right side.
- 3. Find your group members' names there.
- 4. Click on the right arrow to add the group members' names to the "To" box.
- 5. Add a title, compose your email, and hit send!

Final Exam Preparation Practice. Prior to sessions 10 - 14, you will submit your work on the final exam preparation practice scenario posted for that week, prior to that week's class session. These practice assignments will only be accepted if submitted prior to the  $10^{th}$  through  $14^{th}$  class session, respectively. You will be provided feedback on your submission. You may earn up to 2 points per scenario addressed.

Mandated Reporter Training. During the week indicated on the syllabus, you will go to this website - <a href="http://www.dss.virginia.gov/abuse/mr.cgi">http://www.dss.virginia.gov/abuse/mr.cgi</a> - and complete the Child Abuse: Recognizing, Reporting, and Responding for Educators training course that is there. You will submit the certificate of completion that comes with this course by the date indicated on the syllabus for 5 points. Note: If you have

already completed this training course for another course or for your employment, you may submit the certificate of completion for that course, provided that you completed the course within the last two years. If the course was completed more than two years ago, you must complete this course.

**EDSE 619 and EDSE 621 Final Exams.** These are the same final exams your instructor gives when he teaches EDSE 619 and 621. You will complete these during the first week of class. Your instructor will provide you with written feedback on your performance by the end of the fourth week of class. 10 points possible per exam.

## **Course Policies and Expectations**

**Attendance/Participation.** All students are expected to attend the first class session. Beyond that, groups may opt to meet during the scheduled class time, or outside of class (after having given the instructor emailed notification).

Late Work. No late work is expected.

## **Grading Scale**

Assignment Type		Points per Number of Opportunity Opportunities		Points Possible	Cumulative Points Possible			
Fi	nal Exam		1	.00	1 Exam		100 points	100 points
Recorded Material Quizzes		-	oint per estion	151 Questions 151 po		151 points	251 points	
Group Discussion and Discussion Guide		10 points per discussion guide submitted 13 Discussions		130 points	381 points			
Mandated	Mandated Reporter Training 5 points		1 A	ssignment	5 points	386 points		
Final Exam Prep Practice 2 points per Decision Sequence		cision		5 Decision Sequences	10 points	396 points		
EDSE 619 Final Exam 10 points		points	1 ex	am	10 points	406 points		
EDSE 621 Final Exam 10 pc		points	1	Exam	10 points	416 points`		
A 395-416 points	A- 374-394 points	B- 361- poii	373	B 346-30 point		B- 333-347 points	C 292-332 points	F < 292 points

\*Note: The George Mason University Honor Code will be strictly enforced. Students are responsible for reading and understanding the Code. "To promote a stronger sense of mutual responsibility, respect, trust, and fairness among all members of the George Mason University community and with the desire for greater academic and personal achievement, we, the student members of the university community, have set forth this honor code: Student members of the George Mason University community pledge not to cheat, plagiarize, steal, or lie in matters related to academic work." Work submitted <u>must</u> be your own or with proper citations (see <a href="https://catalog.gmu.edu/policies/honor-code-system/">https://catalog.gmu.edu/policies/honor-code-system/</a>).

## **Professional Dispositions**

Students are expected to exhibit professional behaviors and dispositions at all times. See <a href="https://cehd.gmu.edu/students/polices-procedures/">https://cehd.gmu.edu/students/polices-procedures/</a>.

#### **Class Schedule**

\*Note: Faculty reserves the right to alter the schedule as necessary, with notification to students.

Date	Read	Do
23 Jan 2019 Week 1	☐ Meet in Collaborate at 4:30	☐ Course orientation and syllabus review
	☐ No assigned reading.	☐ Honor code review
		☐ Complete EDSE 619 Final Exam
		☐ Complete EDSE 621 Final Exam
		☐ Submit Mandated Reporter Certificate of Completion
30 Jan 2019 Week 2	☐ 25 ES Ch 1-3	☐ Complete Recorded Material Quizzes
WCCK 2	☐ Ethics Ch 1 and 2	☐ Participate in Group Discussion
	□ ABAI (2001)	☐ Complete Discussion Guide
	☐ Barret et al. (1991)	☐ Submit Discussion Guide
	□ Van Houten et al. (1988)	
6 Feb 2019 Week 3	□ 25 ES Ch 4-7	☐ Complete Recorded Material Quizzes
W CCK 3	☐ Ethics Ch 3 – 6	☐ Participate in Group Discussion
	☐ Johnston & Sherman (1993)	☐ Complete Discussion Guide
	☐ Johnston (1991)	☐ Submit Discussion Guid\e

13 Feb 2019 Week 4	□ 25 ES Ch 8-10	☐ Complete Recorded Material Quizzes
Week	☐ Ethics Ch 7	☐ Participate in Group Discussion
		☐ Complete Discussion Guide
		☐ Submit Discussion Guide
20 Feb 2019 Week 5	□ 25 ES Ch 11-13	☐ Complete Recorded Material Quizzes
Week 5	☐ Ethics Ch 8	☐ Participate in Group Discussion
		☐ Complete Discussion Guide
		☐ Submit Discussion Guide
27 Feb 2019 Week 6	□ 25 ES Ch 14 – 17	☐ Complete Recorded Material Quizzes
Week o	☐ Ethics Ch 9	☐ Participate in Group Discussion
	☐ Hastsings & Noone ((2005)	☐ Complete Discussion Guide
		☐ Submit Discussion Guide
6 March 2019	□ 25 ES Ch 18-20	☐ Complete Recorded Material Quizzes
Week 7	☐ Ethics Ch 10	☐ Participate in Group Discussion
		☐ Complete Discussion Guide
		☐ Submit Discussion Guide
20 March	□ 25 ES Ch 21 – 25	☐ Complete Recorded Material Quizzes
2019 Week 8	☐ Ethics Ch 11	☐ Participate in Group Discussion
		☐ Complete Discussion Guide
		☐ Submit Discussion Guide
27 March	☐ AD Ch 1-3	☐ Complete Recorded Material Quizzes
2019		•
Week 9	☐ Ethics Ch 12	☐ Participate in Group Discussion
		☐ Complete Discussion Guide
		☐ Submit Discussion Guide

3 April 2019 Week 10	□ AD Ch 4 – 6	☐ Complete Recorded Material Quizzes		
Week 10	☐ Ethics Ch 13	☐ Participate in Group Discussion		
		☐ Complete Discussion Guide		
		☐ Submit Discussion Guide		
		☐ Submit Decision Sequence		
10 April 2019 Week 11	□ AD Ch 7-9	☐ Complete Recorded Material Quizzes		
W COR 11	☐ Ethics Ch 14	☐ Participate in Group Discussion		
		☐ Complete Discussion Guide		
		☐ Submit Discussion Guide		
		☐ Submit Decision Sequence		
17 April 2019 Week 12	☐ AD Ch 10-13	☐ Complete Recorded Material Quizzes		
WOOK 12	☐ Ethics Ch 15	☐ Participate in Group Discussion		
		☐ Complete Discussion Guide		
		☐ Submit Discussion Guide		
		☐ Submit Decision Sequence		
24 April 2019 Week 13	□ AD Ch 14-17	☐ Complete Recorded Material Quizzes		
	☐ Ethics Ch 16 - 18	☐ Participate in Group Discussion		
		☐ Complete Discussion Guide		
		☐ Submit Discussion Guide		
		☐ Submit Decision Sequence		
1 May 2019 Week 14	☐ AD Ch 18-20	☐ Complete Recorded Material Quizzes		
WCCK 14	☐ Virginia Licensure Regulations	☐ Participate in Group Discussion		
	(or, appropriate state licensure regs if you are not a resident of Virginia)	☐ Complete Discussion Guide		
	v irginia)	☐ Submit Discussion Guide		
		☐ Submit Decision Sequence		
8 May 2019	Ensure all has been completed and submitted	☐ Final Exam due through TK20 by 11:59 pm on 10 May 2019		
1				

#### **Core Values Commitment**

The College of Education and Human Development is committed to collaboration, ethical leadership, innovation, research-based practice, and social justice. Students are expected to adhere to these principles: <a href="http://cehd.gmu.edu/values/">http://cehd.gmu.edu/values/</a>

#### **GMU Policies and Resources for Students**

#### **Policies**

- Students must adhere to the guidelines of the Mason Honor Code (see <a href="https://catalog.gmu.edu/policies/honor-code-system/">https://catalog.gmu.edu/policies/honor-code-system/</a>).
- Students must follow the university policy for Responsible Use of Computing (see http://universitypolicy.gmu.edu/policies/responsible-use-of-computing/).
- Students are responsible for the content of university communications sent to their Mason email account and are required to activate their account and check it regularly. All communication from the university, college, school, and program will be sent to students solely through their Mason email account.
- Students with disabilities who seek accommodations in a course must be registered with George Mason University Disability Services. Approved accommodations will begin at the time the written letter from Disability Services is received by the instructor (see <a href="http://ods.gmu.edu/">http://ods.gmu.edu/</a>).
- Students must silence all sound emitting devices during class unless otherwise authorized by the instructor.

## **Campus Resources**

- Support for submission of assignments to Tk20 should be directed to <u>tk20help@gmu.edu</u> or <u>https://cehd.gmu.edu/aero/tk20</u>. Questions or concerns regarding use of Blackboard should be directed to <a href="http://coursessupport.gmu.edu/">https://coursessupport.gmu.edu/</a>.
- For information on student support resources on campus, see https://ctfe.gmu.edu/teaching/student-support-resources-on-campus

For additional information on the College of Education and Human Development, please visit our website <a href="https://cehd.gmu.edu/students/">https://cehd.gmu.edu/students/</a>.

## **Appendix**

**Assessment Rubric(s)** 

The TK20 Assignment (Final Exam) rubric is as follows:

	Does Not Meet	Meets Expectations	Exceeds Expectations
	Expectations	$\frac{1}{2}$	3
	1		
	Further Learning Needed	Competence	Mastery
	Candidate demonstrates	Candidate demonstrates	Candidate demonstrates
Ethical	further learning needed by	competence by correctly	mastery by correctly
Standards	correctly answering fewer	answering $80-99\%$ of	answering 100% of items
	than 80% of questions	questions pertaining to:	pertaining to:
	pertaining to:	<ul> <li>Responsible conduct of</li> </ul>	<ul> <li>Responsible conduct of</li> </ul>
	<ul> <li>Responsible conduct of</li> </ul>	a behavior analyst.	a behavior analyst.
	a behavior analyst.	• The behavior analyst's	• The behavior analyst's
	The behavior analyst's	responsibility to clients.	responsibility to clients.
	responsibility to clients.	<ul><li>Assessing behavior.</li></ul>	<ul><li>Assessing behavior.</li></ul>
	<ul><li>Assessing behavior.</li></ul>	The behavior analyst	<ul><li>Assessing behavior.</li><li>The behavior analyst</li></ul>
	The behavior analyst	and the individual	and the individual
	and the individual	behavior change	behavior change
	behavior change	program.	program.
	program.	<ul> <li>The behavior analyst</li> </ul>	<ul><li>The behavior analyst as</li></ul>
	<ul> <li>The behavior analyst as</li> </ul>	as a teacher and / or	a teacher and / or
	a teacher and / or	supervisor.	supervisor.
	supervisor.	The behavior analyst	The behavior analyst
	The behavior analyst	<ul><li>and the workplace.</li><li>The behavior analyst's</li></ul>	<ul><li>and the workplace.</li><li>The behavior analyst's</li></ul>
	<ul><li>and the workplace.</li><li>The behavior analyst's</li></ul>	ethical responsibility to	ethical responsibility to
	ethical responsibility to	the field of behavior	the field of behavior
	the field of behavior	analysis.	analysis.
	analysis.	<ul> <li>The behavior analyst's</li> </ul>	<ul> <li>The behavior analyst's</li> </ul>
	<ul> <li>The behavior analyst's</li> </ul>	responsibility to	responsibility to
	responsibility to	colleagues.	colleagues.
	colleagues.	<ul> <li>The behavior analyst's</li> </ul>	• The behavior analyst's
	<ul><li>The behavior analyst's</li></ul>	ethical responsibility to	ethical responsibility to
	ethical responsibility to	society.	society.
	society.	• The behavior analyst and research.	• The behavior analyst and research.
	The behavior analyst and research.	anu iesealen.	and research.
	Candidate demonstrates	Candidate demonstrates	Candidate demonstrates
Professional	further learning needed by	competence by correctly	mastery by correctly
Conduct	correctly answering fewer	answering 80 – 99% of	answering 100% of
	than 80% of items	questions pertaining to:	questions pertaining to:
	pertaining to:	1 1	BACB Professional
	<ul> <li>BACB Professional</li> </ul>	<ul> <li>BACB Professional</li> </ul>	Disciplinary and
	Disciplinary and	Disciplinary and	Ethical Standards
	Ethical Standards	Ethical Standards	<ul><li>Reporting</li></ul>
	<ul> <li>Reporting</li> </ul>	<ul> <li>Reporting</li> </ul>	requirements.
	requirements.	requirements.	<ul> <li>Limits on applying.</li> </ul>
	<ul> <li>Limits on applying.</li> </ul>	<ul> <li>Limits on applying.</li> </ul>	The Review
	The Review Committee.	The Review Committee.	Committee.
	Commutee.	Commuee.	

	<ul> <li>Virginia Board of</li></ul>	<ul> <li>Virginia Board of</li></ul>	<ul> <li>Virginia Board of</li></ul>
	Medicine Standards of	Medicine Standards of	Medicine Standards of
	Professional Conduct	Professional Conduct	Professional Conduct
and f Certification c	Licensure as a Behavior Analyst or an Assistant Behavior Analyst Scope of Practice BACB Certification Requirements BACB Application Process BACB Examination Process	Candidate demonstrates competence by correctly answering 80 – 99% of questions pertaining to:  General provisions of Virginia Behavior Analyst Licensure Regulations Requirements for Licensure as a Behavior Analyst or an Assistant Behavior Analyst Scope of Practice BACB Certification Requirements BACB Application Process BACB Examination Process BACB Continuing Education Requirements	Candidate demonsrates mastery by correctly answering 100% of questions pertaining to: General provisions of Virginia Behavior Analyst Licensure Regulations Requirements for Licensure as a Behavior Analyst or an Assistant Behavior Analyst Scope of Practice BACB Certification Requirements BACB Application Process BACB Examination Process BACB Continuing Education Requirements